Name

Address City

Country

Signature

Name (Print/Type) | FERNANDO A. BORREGO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 12064

First Inventor D. PETERS

Title POLYETHER POLYOLS OF NON-VOLATILE IMPURITIES

Express Mail Label No. EL900586863US

Zip Code

Fax

Date

**Mail Stop Patent Application APPLICATION ELEMENTS** Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 7. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. 🗹 Specification [Total Pages\_ Computer Readable Form (CRF) (preferred arrangement set forth below) - Descriptive title of the invention Specification Sequence Listing on: - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix Paper - Background of the Invention - Brief Summary of the Invention c. Statements verifying identity of above copies - Brief Description of the Drawings (if filed) - Detailed Description ACCOMPANYING APPLICATION PARTS - Claim(s) - Abstract of the Disclosure 9. Assignment Papers (cover sheet & document(s)) 4. Drawing(s) (35 U.S.C. 113) [Total Sheets \_\_\_\_ Power of 10. 37 CFR 3.73(b) Statement (when there is an assignee) Attorney 5. Oath or Declaration [Total Sheets \_ 11 English Translation Document (if applicable) a. Newly executed (original or copy) Copies of IDS 12. V Information Disclosure Statement (IDS)/PTO-1449 Citations b. Copy from a prior application (37 CFR 1.63(d)) 13. **Preliminary Amendment** (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) i. <u>DELETION OF INVENTOR(S)</u> 15 F Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR 16. L Nonpublication Request under 35 U.S.C. 122 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 6. 17. 🗖 Other: ..... ...... 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Divisional Continuation-in-part (CIP) Continuation of prior application No.: ..... Prior application information: Examiner Art Unit: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS  $\overline{\mathbf{z}}$ 28484 Customer Number: OR Correspondence address below

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Registration No. (Attorney/Agent) 34,780

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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U.S	FEE TRANSMIT	TAL		Complete if Known					
-	for FY 2001		Application Number	NA					
PTO			Filing Date	Herewith					
	Patent fees are subject to annua.	l revision.	First Named Inventor	D. PETERS					
			Examiner Name	N/A					
TOTAL AMOUNT OF PAYMENT		(\$) 842.00	Group / Art Unit	N/A					
			Attorney Docket No.	12064					
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1.   1.   1.   1.   1.   1.   1.   1.	METHOD OF PAYMENT (check one)									FEE CALCULATION (continued)							
Deposit Account No.							3. ADI	3. ADDITIONAL FEES									
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Charge Field   Part	Deposit Account No. 23-3425									Fee Description	Fee Paid						
Direct 37 CFR 1-18 and 1-17   Applicant Extract serval entity status.	Deposit Account Name BASF CORPORATION						105	130	205	65	Surcharge - late filing fee or oath						
2. Payment Enclosed: Chock   Green	Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.						127	50	227	25	Surcharge - late provisional filing fee or cover sheet.						
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Section   Sect								r	147	2,520	147	2,520	For filing a request for reexamination				
In the content of t									112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
Large Entity	FEE CALCULATION						113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action						
Fee	1. BASIC FILING FEE						115	110	215	55	Extension for reply within first month						
Code   (\$)   Code   (\$)   Fee Pald	Large E	ntity	Small E	intity	Fee D	Description			116	390	216	195	Extension for reply within second month				
106 320 206 160 Design filing fee							Fee	Paid	117	890	217	445	Extension for reply within third month				
107   490   207   245   Plant filing fee	101	710	201	355	Utility fil	ling fee	\$770.0	00	118	1,390	218	695	Extension for reply within fourth month				
108   710   208   355   Reissue filing fee	106	320	206	160	Design filing fee				128	1,890	228	945	Extension for reply within fifth month				
114	107	490	207	245	Plant filing fee				119	310	219	155	Notice of Appeal				
SUBTOTAL (\$) 770.00   138	108	710	208	355	Reissue filing fee				120	310	220	155	Filing a brief in support of an appeal				
2. EXTRA CLAIM FEES    Extra Claims   Fee from   Fee pelow   Fee p	114	150	214	75	Provisio	onal filing fee			121	270	221	135	Request for oral hearing				
Extra Claims					138	1,510	138	1,510									
Total Claims	2. EXTRA CLAIM FEES					140	110	240	55	Petition to revive - unavoidable							
Total Claims			Ex	tra Claims	;			Fee Paid	141	1,240	241	620	Petition to revive - unintentional				
Multiple Dependent = 144 600 244 300 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Stmt 103 18 203 9 Claims in excess of 20 581 40 581 40 Recording each patent assignment per property (times number of properties) 104 270 204 135 Multiple dependent claims in excess of 3 146 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a)) 109 80 209 40 Recisional apart of Properties of 20 and over original patent 100 18 210 9 Reissue claims in excess of 20 20 140 Claims in excess of 20 20 20 20 20 20 20 20 20 20 20 20 20	Total Claims	24	-20**=	4	x		= [		142	1,240	242	620	Utility issue fee (or reissue)				
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Signature	July By			Date	3/31/04